

Westpac use only

☐ Limited company

☐ Incorporated society/group/club

☐ Trust

☐ Non-incorporated society/group/club

☐ Sole trader

☐ Other (specify

☐ Family trust

☐ Tick if Schedule of Extra Signatories attached

☐ Partnership/joint venture

Account number

03

Authority no.



Opening Accounts for Organisations

A. Company/organisation details

This tells us the name of the above account; company/ organisation/ club/society/group.

* This is for Resident Withholding Tax (RWT) calculation. If you don't provide a valid IRD number, we will use the non-declaration rate of 45%. If you do provide an IRD number but don't tick an RWT rate, we will use a default rate of 33%.

** This is for Portfolio Investment Entity (PIE) Tax calculations. An IRD number is mandatory to open a PIE account. If you don't tick anything, we will use the default prescribed investor rate (PIR) of 28% on your investments in one of our PIE products

* If you tick 'Yes', you are confirming your IRD number is listed on the IRD RWT exemption register.

Full name

Registration number (if any)

Trading name (if different from above)

Address/Registered office NUMBER & STREET

SUBURBTOWN/CITY

Postal address (if different from above) NUMBER & STREET/BOX NUMBER

SUBURBTOWN/CITY

Phone numberEmail

IRD number IF KNOWNTax rate RWT* (tick one) ☐ 10.5% ☐ 17.5% ☐ 28% ☐ 30% ☐ 33% ☐ 39%

RWT exempt?† ☐ Yes ☐ No

Optional for customers with Westpac PIE (Portfolio Investment Entity) investments.

Please tick the Prescribed Investor Rate (PIR) that you will be taxed at:** (tick one) ☐ 0% ☐ 10.5% ☐ 17.5% ☐ 28%

What does your organisation do?

If your organisation is:

- A trust; or a company with nominee shareholders or shares in bearer form; or holding assets on behalf of an individual, please provide information regarding the source of the wealth of your organisation:

Tell us who the individuals are who ultimately own, or have effective control of, your organisation (beneficial owners). If you have more than 2 beneficial owners, ask for a schedule that has room for more people. A beneficial owner is an individual who:

- Ultimately owns more than 25% of your organisation; or
- Has effective control of your organisation (for example, someone in a senior management role or who can appoint senior management).

Full name

Address NUMBER & STREETSUBURBTOWN/CITYPOSTCODEDate of birth DD / MM / YYYY

Full name

Address NUMBER & STREETSUBURBTOWN/CITYPOSTCODEDate of birth DD / MM / YYYY

^ You will be a US citizen if you were born in the US or have acquired US citizenship, unless you have formally renounced your US citizenship.

~ The country listed will determine the tax rate applied to your products. Please contact your tax advisor if you are unsure.

* If you are a US citizen or tax resident, the TIN section must be completed with your social security number or individual tax identification number.

If you are a sole trader please complete the following informationAre you a US citizen?^ ☐ Yes ☐ No

Main country of tax residency~ THIS IS THE MAIN COUNTRY WHICH HAS THE RIGHT TO TAX YOUR WORLDWIDE INCOME

Additional country(s) of tax residency (if any) LIST THE ADDITIONAL COUNTRY(S) OF WHICH YOU ARE A TAX RESIDENT

Foreign Tax Identification Number(s)* (TIN) YOUR IDENTIFICATION NUMBER FOR TAX PURPOSES IN A PARTICULAR COUNTRY

If you do not have a TIN, please select the reason: ☐ TIN not issued by this country ☐ Country has not issued a TIN to me (e.g. I am under age)

NOTE: We cannot open your account until we have received your TIN, unless one of the above reasons is applicable.

B. Rules

This tells us how signatories can operate all the accounts listed above.

Please tick just ONE box. If you don't tick anything, we will default to the existing signing rule held.

☐ anyone can sign by themselves ☐ any two to sign together ☐ any three to sign together or ☐ all signatories must sign together (maximum of 3 to sign on Business Online (BOL) only)

OR something else (tell us what you want if not covered by the options above)

C. Signatories

This tells us who are the account holders and who are the signatories.

If you have more than two signatories, ask for a schedule that has room for more people.

† If you are using a New Zealand driver licence, please also supply the card version number. If you are using a passport, please supply the country of issue.

If you are an account holder, you are signing this section as an account holder and as a signatory.

Signatory 1

☐ Mr ☐ Mrs ☐ Miss ☐ Ms ☐ Other (please specify) _____

Date of birth DD / MM / YYYY

Name FIRSTMIDDLELAST

Relationship to customer

Phone number HOME MOBILE

ID typeID no.†Expiry DD / MM / YYYY

ID typeID no.†Expiry DD / MM / YYYY

Home address NUMBER & STREETSUBURBTOWN/CITYPOSTCODE

Postal address (if different from above) NUMBER & STREET/BOX NUMBERSUBURBTOWN/CITY

OccupationEmail

SignatureDate DD / MM / YYYY

By signing you are declaring and agreeing to the statements at **Section E**. You also agree that we may collect, store, use and share your personal information in accordance with the Westpac Privacy Policy.

Signatory 2

☐ Mr ☐ Mrs ☐ Miss ☐ Ms ☐ Other (please specify) _____

Date of birth DD / MM / YYYY

Name FIRSTMIDDLELAST

Relationship to customer

Phone number HOME MOBILE

ID typeID no.†Expiry DD / MM / YYYY

ID typeID no.†Expiry DD / MM / YYYY

Home address NUMBER & STREETSUBURBTOWN/CITYPOSTCODE

Postal address (if different from above) NUMBER & STREET/BOX NUMBERSUBURBTOWN/CITY

OccupationEmail

SignatureDate DD / MM / YYYY

By signing you are declaring and agreeing to the statements at **Section E**. You also agree that we may collect, store, use and share your personal information in accordance with the Westpac Privacy Policy.

C. Signatories

Continued.

Signatory 3

☐ Mr

☐ Mrs

☐ Miss

☐ Ms

☐ Other (please specify)

Date of birth

DD / MM / YYYY

Name

FIRST

MIDDLE

LAST

Relationship to customer

Phone number

HOME

MOBILE

ID type

ID no.†

Expiry

DD / MM / YYYY

ID type

ID no.†

Expiry

DD / MM / YYYY

Home address

NUMBER & STREET

SUBURB

TOWN/CITY

POSTCODE

Postal address (if different from above)

NUMBER & STREET/BOX NUMBER

SUBURB

TOWN/CITY

Occupation

Email

Signature

Date

DD / MM / YYYY

By signing you are declaring and agreeing to the statements at **Section E**. You also agree that we may collect, store, use and share your personal information in accordance with the Westpac Privacy Policy.

Signatory 4

☐ Mr

☐ Mrs

☐ Miss

☐ Ms

☐ Other (please specify)

Date of birth

DD / MM / YYYY

Name

FIRST

MIDDLE

LAST

Relationship to customer

Phone number

HOME

MOBILE

ID type

ID no.†

Expiry

DD / MM / YYYY

ID type

ID no.†

Expiry

DD / MM / YYYY

Home address

NUMBER & STREET

SUBURB

TOWN/CITY

POSTCODE

Postal address (if different from above)

NUMBER & STREET/BOX NUMBER

SUBURB

TOWN/CITY

Occupation

Email

Signature

Date

DD / MM / YYYY

By signing you are declaring and agreeing to the statements at **Section E**. You also agree that we may collect, store, use and share your personal information in accordance with the Westpac Privacy Policy.

D. Authority

By signing you are declaring and agreeing to the statements at Section E.

Who needs to sign? If signed by a company this document should be signed by a minimum of two directors or by the sole director. In all other cases this form must be signed by all trustees, partners, officers etc. as applicable. If the relevant person has signed at **Section C** they do not need to sign again here.

Full name

Designation (e.g. director, trustee)

Signature

Date

DD / MM / YYYY

Full name

Designation (e.g. director, trustee)

Signature

Date

DD / MM / YYYY

Full name

Designation (e.g. director, trustee)

Signature

Date

DD / MM / YYYY

E. Declaration

Guidance

When we use the term **'Account Holder Organisation'** in this section, we mean the organisation who is opening the Account. Unless the context suggests otherwise, when we use the word **'you'** or **'your'** we mean the Account Holder Organisation.

When we use the term **'Account Holder'**, we mean the person (or people) who is (or are) authorised to act on behalf of the Account Holder Organisation. Normally this will be one of the following (depending on the type of the organisation):

- A director of a Company
- A partner in a Partnership
- A trustee of a Trust
- An appointed or elected officer of a Society – for example a Chairperson, Secretary or Treasurer

When we do anything under or in relation to your account, including where you ask us to do something, we'll act in a fair and reasonable way.

If, at any time, we have a 'Customer Commitment', the commitments in that 'Customer Commitment' do not apply to the terms set out in this form.

A 'Signatory' is someone who is authorised by the Account Holder to operate the accounts opened on the base number set out above. Signatories can only operate the accounts in accordance with the rule that is selected for Signatories in section B of this form.

A Signatory can access and transact on the accounts – however a Signatory can't:

- Open other accounts
- Apply for additional products (such as loan or overdraft facilities)
- Agree to changes to existing products relating to the Accounts.

A Signatory must operate the account themselves – they can't delegate their responsibility to someone else. An Account Holder can also be a Signatory.

By signing this form, each Signatory agrees to all the terms and conditions in this form that relate to Signatories (including relating to what they can and can't do).

Only an Account Holder can change the details of the Authority set out in this form – they can't be changed by a Signatory. This includes adding or removing other Signatories.

Changes to the details set out in this form can only be made by notice to us in writing.

This form can also be used to open accounts held with BT Funds Management (NZ) Limited. If you are opening an account with BT Funds Management (NZ) Limited, the terms in this form apply as if all references to **Westpac. we. us** or **our** mean BT Funds Management (NZ) Limited.

When we say ‘including’ in this form, it means we are providing one or more examples but we aren’t limiting what could be included.

Confirmations

Each Account Holder who signs this form confirms:

- All information supplied in this form is true, correct and complete to the best of their knowledge
- They have the authority to sign this form on behalf of the Account Holder Organisation
- They are authorised to provide the personal information (including relating to the beneficial owners) included in this form

Authority

The Account Holder Organisation (and each Account Holder who signs this form) authorises the Signatories to operate the accounts in accordance with the rule that is selected in section B of this form.

Means of Communication

We may communicate with each other electronically.

We have no responsibility to confirm the validity, authenticity, or accuracy of any electronic or telephone communication or instruction that we receive relating to you.

We may act on any electronic or telephone instruction even if those instructions may be:

- Given or transmitted in error
- Fraudulent
- Altered or distorted before or during transmission or instruction

In some cases, we may not complete an electronic or telephone instruction while we make further enquiries or seek to confirm its authenticity with you.

We will not be liable to anyone if any instructions we receive are not valid, authentic or correct and we could not have reasonably detected that in the circumstances.

Agreement

By signing this form the Account Holder Organisation agrees:

- All terms set out in this form apply to the use of this account
- To comply with all terms set out in this form and the Westpac General Terms and Conditions
- We may collect, store, use and share your personal information in accordance with the Westpac Privacy Policy
- The Authority set out in this form applies to all accounts set out at the top of this form
- We may accept instructions from any one Account Holder for all account related matters
- You'll notify us of any change in details (for example, your contact information or the address of your registered office) or changes in any other information included in this form (including those affecting account ownership and tax residency information)
- We may send you electronic and other forms of messages relating to products and services you hold with us, corporate news, requests for feedback and other information which is important for you to know.

If there's a conflict between the terms set out in this form and the terms of the Westpac General Terms and Conditions, to the extent the terms are about substantially the same thing then the terms set out in this form will apply. If it makes sense for both of the terms to apply then both will apply.

Copies of the Westpac General Terms and Conditions and the Westpac Privacy Policy are available at any of our branches or on our website.

Westpac use only

Receiving branch no.

Branch staff salary no.

Support centre salary no.

Date _____

Authority no.

[illegible]