Westpac use only		Date				
Individuals	loint Tick if Schedule of Extra Signatories	s attached				
Account number 0 3	Base Number	- ALL				
	Opening Accounts fo - Base Account Auth	_	mers			
account owner This tells us who owns the accounts opened on the above Base Number.	First name(s)	Surname				
	First name(s)	Surname	Surname			
	First name(s)	Surname	Surname			
. Rules	Please tick just ONE box. If you don't ticl	k anything, we'll assume that a	nyone can sign by themselves.			
This tells us how signatories can operate all accounts opened on the above Base Number.	Anyone can sign by themselves OR Any two to sign together OR Any three to sign together OR All signatories must sign together					
: Signatories	Signatory 1					
This tells us who can operate the accounts on the above Base Number.	○Mr ○Mrs ○Miss ○Ms ○O	ther (please specify)	Date of birth DD / MM / YYYY			
All account owners listed in section A must	Name FIRST	MIDDLE	LAST			
complete this section. If you have more than	Relationship to customer (if not an accour	nt owner)				
three authorised people ask us for a schedule	Phone Number HOME	MOBILE				
that has room for more people.	ID type	ID no.†	Expiry DD / MM / YYYY			
† If you are using a New Zealand driver licence,	ID type	ID no. [†]	Expiry DD / MM / YYYY			
please also supply the card version number. If you are using a passport, please supply	Home address NUMBER & STREET	SUBURB	EXPILY DUT MINT / TITLE			
the country of issue. * This is for Resident Withholding Tax (RWT) calculations. If you don't provide a valid IRD number, we will use the	TOWN/CITY POSTCODE					
	Postal address (if different from above) NUMBER & STREET/BOX NUMBER					
non-declaration rate of 45%. If you do provide	SUBURB	TOWN/CITY				
an IRD number but don't tick an RWT rate, we will use a default rate	Occupation	Email				
of33%. ‡Ifyou tick 'Yes', you	IRD number IF KNOWN	Tax rate RWT^ (tick on	e) 10.5% 17.5% 30% 33% 39%			
are confirming your IRD number is listed on the	RWT exempt? [‡] Yes No					
IRD RWT exemption register. ** This is for Portfolio	Optional for customers with Westpac PIE (Portfolio Investment Entity) investments. Please tick the Prescribed Investor Rate (PIR**) that you will be taxed at: (tick one) 10.5% 28%					
Investment Entity (PIE) Tax calculations. An IRD	For Account Owners only (please complete the following information)					
number is mandatory to open a PIE account. If	Are you a US citizen?^ Yes No					
you don't tick anything, we will use the default prescribed investor rate	Main country of tax residency THIS IS THE MAIN COUNTRY WHICH HAS THE RIGHT TO TAX YOUR WORLDWIDE INCOME					
(PIR) of 28% on your investments in one of our PIE products.	Additional country(s) of tax residency (if any) LIST THE ADDITIONAL COUNTRY(S) OF WHICH YOU ARE A TAX RESIDENT					
our PIE products. If you are an account owner, you are signing this section as an account owner and as a signatory.	Foreign Tax Identification Number(s)° (TIN) YOUR IDENTIFICATION NUMBER FOR TAX PURPOSES IN A PARTICULAR COUNTRY					
	If you do not have a TIN, please select the	reason: TIN not issued by				
	NOTE: We cannot open your account until we have received your TIN, unless one of the above reasons is applicable. ^You will be a US citizen if you were born in the US or have acquired US citizenship, unless you have formally renounced your US citizenship. The country listed will determine the tax rate applied to your products. Please contact your tax advisor if you are unsure. If you are a US citizen or tax resident, the TIN section must be completed with your social security number or individual tax identification number.					
	Signature	•	Date DD / MM / YYYY			

Signature

Date DD / MM / YYYY

By signing you are declaring and agreeing to the statements at **Section D**. You also agree that we may collect, store, use and share your personal information in accordance with the Westpac Privacy Policy.

We may be required to verify the identity of the people listed and other information provided in this form. Please refer to Westpac's list of acceptable verification documentation available at westpac.co.nz/AML

C. Signatories	es
(continued)	

Mr Mrs Miss Ms	Other (please specify)		Date of birth DD / MM / YYY
Name FIRST	MIDDLE	LAST	
Relationship to customer (if not an a	ccount owner)		
Phone Number HOME	MOBILE		
ID type	ID no.†		Expiry DD / MM / YYY
ID type		ID no.†	Expiry DD / MM / YYY
Home address NUMBER & STREET	SUBURB		
TOWN/CITY	POSTCOD	Е	
Postal address (if different from abo	ove) NUMBER & STREET/BOX NUMBE	R	
SUBURB	TOWN/CIT	ГҮ	
SUBURB Occupation	TOWN/CIT	ГҮ	
	Email	one) 10.5% 17	.5% 30% 33% 39%
Occupation	Email		.5% 30% 33% 39%
Occupation IRD number IF KNOWN RWT exempt? [‡] Yes (Optional for customers with Wes	Email Tax rate RWT [^] (tick) No Stpac PIE (Portfolio Investment En	one) 10.5% 17	
Occupation IRD number IF KNOWN RWT exempt? [‡] Yes (Optional for customers with Weiler Please tick the Prescribed Investor	Email Tax rate RWT^ (tick) No Stpac PIE (Portfolio Investment En Rate (PIR**) that you will be taxed a	one) 10.5% 17 Itity) investments. It: (tick one) 10.5%	
Occupation IRD number IF KNOWN RWT exempt? [‡] Yes (Optional for customers with Weiler Please tick the Prescribed Investor	Tax rate RWT [^] (tick of No Stpac PIE (Portfolio Investment En Rate (PIR**) that you will be taxed a complete the following information	one) 10.5% 17 Itity) investments. It: (tick one) 10.5%	
Occupation IRD number IF KNOWN RWT exempt? [‡] Yes (Optional for customers with We Please tick the Prescribed Investor For Account Owners only (please Are you a US citizen?^ Yes ()	Tax rate RWT [^] (tick of No Stpac PIE (Portfolio Investment En Rate (PIR**) that you will be taxed a complete the following information	one) 10.5% 17 Initity) investments. Init: (tick one) 10.5% Initity) investments.	6 17.5% 28%
Occupation IRD number IF KNOWN RWT exempt? [‡] Yes Optional for customers with We: Please tick the Prescribed Investor For Account Owners only (please Are you a US citizen?^ Yes I	Tax rate RWT [^] (tick of No Stpac PIE (Portfolio Investment En Rate (PIR**) that you will be taxed a complete the following information No	one) 10.5% 17 Itity) investments. It: (tick one) 10.5% On)	0 17.5% 28%
Occupation IRD number IF KNOWN RWT exempt? [‡] Yes Optional for customers with We: Please tick the Prescribed Investor For Account Owners only (please Are you a US citizen?^ Yes I Main country of tax residency THIS Additional country(s) of tax residen	Email Tax rate RWT^ (tick) No stpac PIE (Portfolio Investment En Rate (PIR**) that you will be taxed a complete the following information No S IS THE MAIN COUNTRY WHICH HAS	one) 10.5% 17 Initity) investments. It: (tick one) 10.5% Initity) investments. It: (tick one) 10.5% Initity) investments. It: (tick one) 10.5% Initity) investments. It: (tick one) 10.5%	OUR WORLDWIDE INCOME
Occupation IRD number IF KNOWN RWT exempt? [‡] Yes Optional for customers with We: Please tick the Prescribed Investor For Account Owners only (please Are you a US citizen?^ Yes I Main country of tax residency THIS Additional country(s) of tax residen	Tax rate RWT [^] (tick of No Stpac PIE (Portfolio Investment En Rate (PIR**) that you will be taxed a complete the following information No S IS THE MAIN COUNTRY WHICH HAS cy (if any) LIST THE ADDITIONAL COUNTRY (TIN) YOUR IDENTIFICATION NUMBER (C) (C)	one) 10.5% 17 Initity) investments. It: (tick one) 10.5% Initity) investments. It: (tick one) 10.5% Initity) investments. It: (tick one) 10.5% Initity) investments. It: (tick one) 10.5%	OUR WORLDWIDE INCOME

Signature Date DD / MM / YYYY By signing you are declaring and agreeing to the statements at $\mathbf{Section}\ \mathbf{D}$. You also agree that we may collect, store, use and share your personal information in accordance with the Westpac Privacy Policy.

We may be required to verify the identity of the people listed and other information provided in this form. Please refer to Westpac's list of acceptable verification documentation available at westpac.co.nz/AML

 $^{^{\}wedge} You \ will \ be \ a \ US \ citizen \ if you \ were \ born \ in \ the \ US \ or \ have \ acquired \ US \ citizen \ ship, \ unless \ you \ have \ formally \ renounced \ your \ US \ citizen \ ship, \ unless \ you \ have \ formally \ renounced \ your \ US \ citizen \ ship, \ unless \ you \ have \ formally \ renounced \ your \ US \ citizen \ ship, \ unless \ you \ have \ formally \ renounced \ your \ US \ citizen \ ship, \ unless \ you \ have \ formally \ renounced \ your \ US \ citizen \ ship, \ unless \ you \ have \ formally \ renounced \ your \ US \ citizen \ ship, \ unless \ you \ have \ formall \ your \ unless \ you \ have \ formall \ your \ unless \ you \ have \ formall \ your \ unless \ you \ have \ formall \ your \ unless \ you \ have \ formall \ your \ unless \ you \ have \ formall \ your \ unless \ you \ have \ formall \ your \ your$

The country listed will determine the tax rate applied to your products. Please contact your tax advisor if you are unsure.

"If you are a US citizen or tax resident, the TIN section must be completed with your social security number or individual tax identification number.

By signing you are declaring and agreeing to the statements at **Section D**. You also agree that we may collect, store, use and share your personal information in accordance with the Westpac Privacy Polic

Signatory 3			
	Other (please specify)		Date of birth DD / MM / YYYY
Name FIRST	MIDDLE	LAST	
Relationship to customer (if not an accou	nt owner)		
Phone Number HOME		MOBILE	
ID type	ID no.†		Expiry DD / MM / YYYY
ID type		ID no.†	Expiry DD / MM / YYYY
Home address NUMBER & STREET		SUBURB	
TOWN/CITY		POSTCODE	
Postal address (if different from above)	NUMBER & STREET/BO	OX NUMBER	
SUBURB		TOWN/CITY	
Occupation		Email	
IRD number IF KNOWN	Tax rate F	WT^ (tick one) 10.5%)17.5% ()30% ()33% ()39%
RWT exempt? [‡] Yes N	0		
Optional for customers with Westp	ac PIE (Portfolio Inve	estment Entity) investment	ts.
Please tick the Prescribed Investor Rate	e (PIR**) that you will I	pe taxed at: (tick one)	0.5% 17.5% 28%
For Account Owners only (please co	mplete the following	g information)	
Are you a US citizen?^ Yes No			
Main country of tax residency THIS IS T	THE MAIN COUNTRY W	HICH HAS THE RIGHT TO TAX	(YOUR WORLDWIDE INCOME
Additional country(s) of tax residency (i	fany) LIST THE ADDITI	ONAL COUNTRY(S) OF WHICH	1 YOU ARE A TAX RESIDENT
Foreign Tax Identification Number(s)° (T	IN) YOUR IDENTIFICAT	ION NUMBER FOR TAX PURPC	OSES IN A PARTICULAR COUNTRY
If you do not have a TIN, please select the	ne reason: TIN	not issued by this country	
	Cou	ntry has not issued a TIN to m	ne (e.g. I am under age)
NOTE: We cannot open your account until w	e have received your TIN,	unless one of the above reasons	is applicable.
^ You will be a US citizen if you were born in th ~ The country listed will determine the tax rat ° If you are a US citizen or tax resident, the TIN	e applied to your products	s. Please contact your tax advisor i	ifyou are unsure.
Signature			Date DD / MM / YYYY

By signing you are declaring and agreeing to the statements at **Section D**. You also agree that we may collect, store, use and share your personal information in accordance with the Westpac Privacy Polic

We may be required to verify the identity of the people listed and other information provided in this form. Please refer to Westpac's list of acceptable verification documentation available at westpac.co.nz/AML

D. Agreement

Guidance

When we use the term 'Account Owner' in this section, we mean the person or people who are opening the Account. Unless the context suggests otherwise, when we use the word 'you' or 'your' we mean the Account Owner.

When we do anything under or in relation to your account, including where you ask us to do something, we'll act in a fair and reasonable way.

If, at any time, we have a 'Customer Commitment', the commitments in that 'Customer Commitment' do not apply to the terms set out in this form.

A 'Signatory' is someone who is authorised by the Account Owner to operate the accounts opened on the base number set out above. Signatories can only operate the accounts in accordance with the rule that is selected for Signatories in section B of this form.

A Signatory can access and transact on the accounts – however a Signatory can't:

- · Open other accounts
- · Apply for additional products (such as loan or overdraft facilities)
- $\boldsymbol{\cdot}$ Agree to changes to existing products relating to the Accounts

A Signatory must operate the account themselves – they can't delegate their responsibility to someone else.

By signing this form, each Signatory agrees to all the terms and conditions in this form that relate to Signatories (including relating to what they can and can't do).

Only an Account Owner can change the details of the Authority set out in this form – they can't be changed by a Signatory. This includes adding or removing other Signatories.

Changes to the details set out in this form can only be made by notice to us in writing.

This form can also be used to open accounts held with BT Funds Management (NZ) Limited. If you are opening an account with BT Funds Management (NZ) Limited, the terms in this form apply as if all references to **Westpac**, **we**, **us** or **our** mean BT Funds Management (NZ) Limited.

When we say 'including' in this form, it means we are providing one or more examples but we aren't limiting what could be included.

Confirmation

Each Account Owner who signs this form confirms all information supplied in this form is true, correct and complete to the best of their knowledge.

Authority

Each Account Owner authorises the Signatories to operate the accounts in accordance with the rule that is selected in section B of this form.

Means of Communication

We may communicate with each other electronically.

We have no responsibility to confirm the validity, authenticity, or accuracy of any electronic or telephone communication or instruction that we receive relating to you.

We may act on any electronic or telephone instruction even if those instructions may be:

- · Given or transmitted in error
- Fraudulent
- $\boldsymbol{\cdot}$ Altered or distorted before or during transmission or instruction

In some cases, we may not complete an electronic or telephone instruction while we make further enquiries or seek to confirm its authenticity with you.

We will not be liable to anyone if any instructions we receive are not valid, authentic or correct and we could not have reasonably detected that in the circumstances.

Agreement

By signing this form the Account Owner agrees:

- · All terms set out in this form apply to the use of this account
- To comply with all terms set out in this form and the Westpac General Terms and Conditions
- We may collect, store, use and share your personal information in accordance with the Westpac Privacy Policy
- The Authority set out in this form applies to all accounts opened at any time on the Base Number set out at the top of this form.
- We may accept instructions from any one Account Owner relating to all account related matters – including instructions to open new accounts on the Base Number set out at the top of this form
- You'll notify us of any change in details (for example, your contact information or your address) or changes in any other information included in this form (including those affecting account ownership and tax residency information)
- We may send you electronic and other forms of messages relating to products and services you hold with us, corporate news, requests for feedback and other information which is important for you to know.

If there's a conflict between the terms set out in this form and the terms of the Westpac General Terms and Conditions, to the extent the terms are about substantially the same thing then the terms set out in this form will apply. If it makes sense for both of the terms to apply then both will apply.

Copies of the Westpac General Terms and Conditions and the Westpac Privacy Policy are available at any of our branches or on our website.

Westpac use only

Receiving branch no. Branch staff salary no. Support centre salary no.