

Westpac KiwiSaver Scheme

Transfer of locked in UK pension funds to a New Zealand QROPS provider



How do I qualify?

You may qualify if you've previously transferred funds from a UK pension scheme to the Westpac KiwiSaver Scheme, subject to meeting certain requirements.

What can I transfer?

You should be able to transfer the original amount of the funds transferred from the UK pension scheme before 17 June 2015 and any investment returns on that amount, less any permitted withdrawal(s) which you may have made in accordance with the KiwiSaver scheme rules, to a New Zealand Qualified Recognised Overseas Pension Scheme (QROPS).

When can I expect the transfer?

Generally, once we've received your completed application and all the required supporting documentation, your transfer will be made to your QROPS provider within 10 business days of your request being approved. We'll let you know the outcome of your withdrawal request.

How do I apply?

1. Complete all sections of this form.
2. Review 'Your checklist' to ensure you have everything you need.
3. Once completed, send your form and supporting documents to:

Courier: Westpac KiwiSaver Scheme
Westpac on Takutai Square
53 Galway Street
Auckland 1010
New Zealand

Post: Westpac KiwiSaver Scheme
PO Box 934
Auckland 1140
New Zealand

Visit: Any Westpac New Zealand branch.

Need help?

If you're not sure whether you qualify, need help completing this form or would like an update on your application, call **0508 972 254 (+64 9 375 9978)** from overseas) weekdays between 8.30am and 5pm NZ time. Alternatively, email us at kiwisaverhelp@westpac.co.nz

Your checklist

Please ensure that you provide us with all the following:

- ☐ Your fully completed application form.
- ☐ Written confirmation from your chosen QROPS provider that they will accept the transfer.
- ☐ A letter from your QROPS provider including the details listed below under the heading "Your New Zealand QROPS provider details" (refer to page 2).
- ☐ Certified copy of acceptable identity documentation (such as the photo and signature pages of your current signed passport, or both sides of your current New Zealand driver licence).
- ☐ Certified copy of proof of address showing your name, such as a recent rates, power or phone bill. This can't be from Westpac and must be dated within 12 months.

Documents must be received by Westpac within three months of being certified. For a full list of acceptable identification and proof of address, go to westpac.co.nz/AML

What's a certified copy?

A certified copy is a copy of an original document on which an authorised person (such as a Justice of the Peace, solicitor or other legally authorised person) has confirmed it is a true copy of the original. All certified copies must include:

- The certifier's name, occupation, signature and date.
- The following or equivalent wording: "I certify this to be a true copy of the original document as sighted by me on [date]"
- For identity documents only, add: "and it represents the true likeness and identity of the individual" (or words to that effect)

The certifier can't be someone you're related to, your spouse/partner, or someone who lives at the same address.

Privacy statement

The personal information which you provide in (or in connection with) this form will be held securely by BT Funds Management (NZ) Limited (Manager) and/or The New Zealand Guardian Trust Company Limited (Supervisor), at the Manager's address, and may be disclosed to Westpac Banking Corporation ABN 33 007 457141 (Westpac), Westpac New Zealand Limited (Westpac NZ) and any other entity that is involved in the administration and management of the Westpac KiwiSaver Scheme (including Inland Revenue and any regulatory body).

You have the right to access and correct this information subject to the provisions of the Privacy Act 2020. Your personal information may otherwise be collected, used and disclosed in accordance with Westpac's Privacy Policy (available at westpac.co.nz/privacy).

Westpac branch use – branch checklist Branch name

Staff name

- ☐ Form is complete ☐ Customer is AML compliant, current ID and proof of address has been verified/certified and loaded into Sales Customer (Assist)

Your details

We'll update your address and PIR details if they differ from our records. We won't update your phone and/or email details unless we have none on file or you tick the box below:

☐ **Update my details:** Update my phone number and/or email details so all future Westpac KiwiSaver Scheme and general Westpac correspondence goes to the below:

☐ Mr ☐ Mrs ☐ Miss ☐ Ms ☐ Other (please specify) Date of birth DD / MM / YYYY

Name	FIRST	MIDDLE	LAST		
Physical address	NUMBER & STREET		SUBURB	TOWN/CITY	POSTCODE
Postal address (if different)	NUMBER & STREET		SUBURB	TOWN/CITY	POSTCODE
Phone	HOME		MOBILE		
Email					

IRD number

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Westpac KiwiSaver Scheme member number

K	S								
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Find it in online banking or on your statement.

Prescribed Investor Rate (PIR) ☐ 10.5% ☐ 17.5% ☐ 28%. Find yours at ird.govt.nz/pir

Email is our preferred way to contact you as it's the fastest way to communicate.

Your New Zealand QROPS provider details

Have you been a UK tax resident in the last 5 years?	NI number	Date left the UK	DD / MM / YYYY
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I would like my funds paid to

QROPS provider name
QROPS provider registration number
Your QROPS provider member or account number
Your QROPS provider bank account number/reference details

If you're invested in more than one fund, the withdrawal will be deducted proportionately across each of the funds you are invested in.

To process your transfer, please include in your application a letter from your QROPS provider (on their letterhead), confirming:

1. They are a New Zealand-qualified recognized overseas pension scheme provider.
2. They are willing to accept a transfer payment from a KiwiSaver scheme provider into your QROPS account.
3. The bank details to be used for the transfer payment, including any reference details needed.

I declare that:

- I have read and understood the Privacy Statement above.
- All the information provided in this form, and any included materials, is true and complete.
- I understand that if the information in my application is incomplete or incorrect, the manager of the Westpac KiwiSaver Scheme will not be able to complete its assessment of my application.
- I understand that my withdrawal value will be based on the unit price(s) applying at the date range that my withdrawal request is processed, so may be subject to change. It can be affected by market volatility, PIE tax rebates or deductions, and additional contributions received.
- I agree that, if I withdraw my full account balance, my account will be closed, and I will no longer be a member of the Westpac KiwiSaver Scheme.

PLEASE PRINT THIS FORM AND SIGN THIS SECTION.

My signature	Date DD / MM / YYYY
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